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FAX COVER LETTER

December 6, 2004

TO:

Examiner Vu

COMPANY:

U.S. Patent and Trademark Office

PHONE:

703/308-9119 571/272-4073

FAX:

703/872-9306

FROM:

Craig J. Lervick

DIRECT DIAL: EMAIL (612) 607-7387 CLervick@oppenbeimer.com

RE:

U.S. Patent Application No. 09/520,580

Attorney Docket No. 60021-352901

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that the following are being facsimile transmitted to the Patent and Trademark Office on December 6, 2004: 1) Transmittal Form, 2) Fee Transmittal, 3) Response to Advisory Action, 4) Petition for Two Month Extension.

Craig Lervick

) ic. 6,

Date

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PTO/SB/21 (03-03)
Approved for use through 04/30/2003. OMB 0651-0031
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to a collection of information unless it displays a valid DMB control number.

					
Transmittal. FORM			Application Number	09/520,580	
			Filing date	March 6, 2000	
			First Named Inventor	Andrew E. Fano et al.	
			Art Unit	2174	
	Il correspondence		Examiner Name	T. Vu	
Total Number of Pag	ges in This Submit	noiaei	Attorney Docket Number	60021/352901	
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Fee attach		Licensing-related	ad Darwro	Technology Center (TC)	
✓ Amendment/Repl		Petition	o rapeis	Appeal Communication to Board of Appeals and Interferences	
After Fina	الد	Pctition to Conv	vert to a Provisional Application	Appeal C	
☐ Affidavits	i/declaration(s)	Power of Attorne		(Appeal Notice, Brief, Reply Brief)	
Extension of Time	e Request	L	espondence Address	☐ Proprietary Information	
Express Abandons	ment Request	Terminal Disclai		☐ Status Letter	
☐ Information Discl	iosure Statement	Request for Refu		Other Enclosure(s) please identify	
	1	CD, Number of	CD(s)	below): Return Postcard	
Ccrtified Copy of Document(s)	Priority	Remarks:			
Response to Missi Incomplete Appli	ing Parts/ lcation				
Response to Missi 37 CFR 1.52 or 1.					
☐ Copy of the PTO Porm 1533 (Rev. 9/97), Notice to File Missing Parts of Application Filing Date Granted					
Executed Declarate of Attorney Docu					
	SIGNAT	TURE OF APPLIC	ANT, ATTORNEY, OR AG	ENT REQUIRED	
Name (Print/Type)	Craig J. Lervi	ick _			
Signature	Cno	102	21		
Date	December 6	20164			
		CERTIFICATE	OF TRANSMISSION/MAI	ILING	
I hereby certify that this postage as first class m	correspondence is it	being facsimile transmitt	ted to the USPTO or deposited with	the United States Postal Service with sufficient lexandria, VA 22313 on this date: December 6, 2004	
Typed or printed	Craig J. Lervi	· 			
Signature	7	77-01	Date: Dece	ember 6, 2004	

This collection of Information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiallity is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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OPPENHEIMER: 2286821 v01 12/06/2004

FEE TRANSMITTAL		Complete if Known		
	Application Number	09/520,580		
for FY 2004	Filing Date	March 8, 2000		
	First Named Inventor	Andrew E. Fano		
Patent fees are subject to annual re	vision. Examiner Name	T. Vu		
Applicant Claims Small Entity	Group Art Unit	2174		
TOTAL AMOUNT OF PAYMENT	\$592.00 Attorney Docket No.:	60021/352901		

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		7 ĆFR 1.16 a			1053	130	1053	130	Non-English specification	
					1812	2,520	1812	2,520	Filing a request for ex parte reexamination	·
2	_	nt Enclosed	:		1804	920*	1804	920*	Requesting publication of SIR prior to	
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FEE CALCULATION 1. BASIC FILING FEE			1805	1,840*	1805	1840*				
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790	395	Utility filing t	ee.				2252		Extension for reply within second month	\$430.00
350	175	Design filing		_	1253	980		490	Extension for reply within third month	ļ
550	275	Plant filing for	•		1254	1,530	2254	765	Extension for reply within fourth month	
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SUBTOTAL (1) \$0,00		1403	300	2403	150	Request for oral hearing				
Z. EAIR	OA CLAIR	n rees Extra	Fee	Fee Paid	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
		Claime		ree rau	1452	110	2452	55	Petition to revive - unavoldable	
Claims	- 20 =	9 .	18/9	\$162.00	1453	1,370	2453	685	Petilian to revive - unintentional	
ind. Claims	- 3 =	0	88/44		1501	1.370	2501	685	Utility issue fee (or relasue)	
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					1503	660	2503	330	Plant issue fee	
Large	Small					→ - ▼				
Entity	Entity				1460	130	1460	130	Petitions to the Commissioner	
Fee	Fee	J	Fee Descr	iption	1807	130	1807	130	Processing fee under 37 CFR 1.17(q)	
(\$)	(\$)			1806	180	1808	180	Submission of Information Disclosure Stmt	<u> </u>	
18 88	9 44	Claims in excess of 20 Independent claims in excess of 3		8021	40	8021	40	Recording each patent assignment per property (times number of properties)		
300	150	Multiple dependent claims		1809	790	2809	395	Filling a submission after final rejection		
88	44	** Reissue Independent claims		•				(37 CFR 1.129(a))		
18	9	over original patent ** Reissue claims in excess of 20			1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))	
	and over original patent			1801	790	2801	395	Request for Continued Examination (RCE)		
Ğ.			1802	900	1802		Request for expedited examination			
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~ or numbe	er previous			ues, see above	t .	ver fee (s ed by B		na Fee	SUBTOTAL (3)	\$430.00
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SUBMIT									Complete // applicable)	

SUBMITTED BY			Complete (if applicable)	
Name	Craig J. Lervick	Registration No.: 35,244	Telephone: (612) 607-7387	
Signature	Comple Tom	Date:	December 6, 2004	

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DEC u o zivi4

Serial No.: 09/520,580

In reply to Advisory Action mailed: October 6, 2004

Page 1 of 8

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial #:

09/520,580

Applicant:

Andrew E. Fano et al.

Filed:

March 8, 2000

Title:

FINANCIAL MANAGER USER INTERFACE

Group Art:

2174

Examiner: Docket #:

T. Vu AND1P529 (060021-352901)

CERTIFICATE OF TRANSMISSION

Commissioner for Patents P.O. Box 1450, Alexandria, VA 22313-1450 I hereby certify under 37 CFR 1.8 that this correspondence is being transmitted by facsimile to Mall Stop AF, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 via the facsimile number 703/872-9306 on December 2004.

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RESPONSE TO FINAL OFFICE ACTION AND ADVISORY ACTION

In response to the Final Office Action mailed June 16, 2004 (hereinafter "Final Office Action") and the Advisory Action mailed October 6, 2004 (hereinafter "Advisory Action"), please amend the above-identified application as follows:

Claims are reflected in the listing of claims, which begins on page 2 of this paper.

Remarks/Arguments begin on page 7 of this paper.